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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/826,733

Filing Date April 16, 2004

First Named Inventor Masataka Shinoda

Art Unit 2655

Examiner Name Unassigned

Attorney Docket Number 075834.00485

### ENCLOSURES (Check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment/Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Reply to Missing Parts/Incomplete Application

☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

☐ After Allowance Communication to TC

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☐ Other Enclosure(s) (please identify below):

#### Remarks

The Commissioner is hereby authorized to charge any fees due or credit any overpayment to Deposit account No. 50-1794

CUSTOMER NO. 33448

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Robert J. Depke, Holland & Knight LLP, 131 S. Dearborn St., 30th Fl, Chicago, Illinois 60603

Signature

Printed name

Robert J. Depke Esq.

Date

11/19/04

Reg. No.

37,607

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Robert J. Depke Esq.

Date

11/19/04

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ITW



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**App. No.:** 26,733 **Confirmation No.:** N/A

**Applicant:** Masataka Shinoda

**Filed:** April 16, 2004

**TC/A.U.:** 2655

**Examiner:** Unassigned

**Docket No.:** 075834.00485

**Customer No.:** 33448

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
Alexandria, VA 22313-1450

SIR:

In accordance with 37 CFR §§1.97, 1.98, Applicants and the undersigned attorney hereby submit legible copies of the patents listed on the accompanying form PTO/SB/08A.

**CERTIFICATION UNDER 37 C.F.R. §1.97(e)**

Applicants hereby certify, as is required under 37 C.F.R. §1.97(c)(1) and §1.97(e), that each item of information contained in the Information Disclosure Statement is submitted prior to receipt of a First Office Action on the merits and thus no fee is due for consideration of this document.

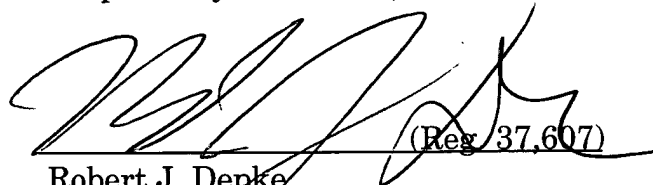
The undersigned also points out that the submission of these documents is neither a concession nor admission that the documents qualify as prior art for this application under the patent laws of the United States. Applicants reserve the

right to challenge the status of any such document that the office determines to be prior art.

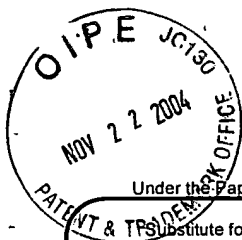
Respectfully submitted,

Date:

11/19/04

A handwritten signature in black ink, appearing to read 'R. Depke', is written over a horizontal line. To the right of the signature, the text '(Reg. 37,607)' is printed.

Robert J. Depke  
HOLLAND & KNIGHT LLC  
131 South Dearborn, 30<sup>th</sup> Floor  
Chicago, IL 60603  
(312) 422-9050



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

Application Number	10/826,733
Filing Date	April 16, 2004
First Named Inventor	Masataka Shinoda
Art Unit	2655
Examiner Name	Unassigned
Attorney Docket Number	075834.00485

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	US- 5004307	04/02/1991	Kino et al.	
	2	US-			
	3	US-			
	4	US-			
	5	US-			
	6	US-			
	7	US-			
	8	US-			
	9	US-			
	10	US-			
	11	US-			
	12	US-			
	13	US-			
	14	US-			
	15	US-			
	16	US-			
	17	US-			
	18	US-			
	19	US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	1					
	2					
	3					
	4					
	5					
	6					

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/826,733
				Filing Date	April 16, 2004
				First Named Inventor	Masataka Shinoda
				Art Unit	2655
				Examiner Name	Unassigned
Sheet	2	of	2	Attorney Docket Number	075834.00485

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		TOM D. MILSTER, Chromatic Correction of High-Performance Solid Immersion Lens Systems, Optical Data Storage Center/Optical Science Center, University of Arizona, 12/08/1988	

Examiner Signature	Date Considered
-----------------------	--------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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